	E JC
Substitute for form 1449A/PTO	MAR 1 9 70TO SE
INFORMATION I	DISCLOSURE APPRICANT
(use as many sheet	s as necessary)

Sheet

of

3

	Complet if Known	RECEIVED
Applicati n Number	09/721,904	
Filing Date	November 27, 2000	1118
First named Inventor	Julius	MAR 2 0 2003
Group Art Unit	1632	Cu
Examiner Name		CA CENTER 1600/29
Attorney Docket Number	47841/00063	25/000 US 14/54
	1	

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s) publisher, city and/or country where published	T <sup>2</sup>
RT	C1	DIAMOND G. ET AL.: "Inducible Expression of an Antibiotic Peptide Gene in Lipoposysaaccharide- Challenged Tracheal Epithelial Cells"; PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA, vol. 93, May 1996 (1996-05), pages 5156-5160, XP002127991; WASHINGTON, U.S.A.	
	C2	FERRERO ET AL.: "Transgenic Mice Expressing Human CD14 are Hypersensitive to Lipopolysaccaride"; PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF U.S.A., U.S., NATIONAL ACADEMY OF SCIENCE; vol. 90, March 1993 (1993-03), page 2380-2384 XP002016890 ISSN: 0027-8424; WASHINGTON, U.S.A.	
+	C3		
	C4	IKEDA A. ET AL.: "Molecular Cloning of Bovine CD 14 Gene"; JOURNAL OF VETERINARY MEDICAL SCIENCE – NIHON JUIGAKU ZASSHI, JP, JAPANESE SOCIETY OF VETERINARY SCIENCE; vol. 59, no. 8, 1997, page 715-719 XP002062359 ISSN: 0916-7250; TOKYO, JAPAN	
	C5	WANG Y. ET AL.: "Detection and Identification of Soluble CD14 in Bovine Milk"; MOLECULAR BIOLOGY OF THE CELL,; vol. 8, 1997, page 85A XP002062360 ISSN: 1059-1524 abstract; BETHESDA, MD, U.S.A.	
	C6	GOYERT S. M. ET AL.: "Expression and Function of Human CD14 in Transgenic Mice"; JOURNAL OF CELULAR BIOCHEMISTRY; vol. 16C, 1992, page 153 XP002016892 abstract; NEW YORK, NEW YORK, U.S.A.	
	C7	YANG Z. ET AL.: "Soluble CD14 and Lipopolysaccaride—Binding Protein from Bovine Serum Enable Bacterial Lipopolysaccharide—Mediated Cytotoxicity and Activation of Bovine Vascular Endothelial Cells in Vitro"; JOURNAL OF LEUKOCYTE BIOLOGY, U.S., FEDERATION OF AMERICAN SOCIETIES FOR EXPERIMENTAL; vol. 59, no. 2, 1996, page 241-247 XP002062361 ISSN: 0741-5400	
	C8	SIMMONS D. L. ET AL.: "Monocyte Antigen CD14 is a Phospholipid Anchored Membrane Protein"; BLOOD; vol. 73, no. 1, 1989, page 284-289 XP002062358 ISSN: 0006-4971; PHILADELPHIA, PA, U.S.A.	
	C9	SETOGUCHI M. ET AL.; "Mouse and Human CD14 (Myeloid Cell-Specific Leucine-Rich Glycoprotein) Primary Structure Deduced from CDNA Clones"; BIOCHIMICA ET BIOPHYSICA ACTA. GENE STRUCTURE AND EXPRESSION; vol. 1008, 1989, page 213-222 XP002062356 ISSN: 0167-4781, figure 3; ELSEVIER, AMSTERDAM	

Examiner	· · · · · · · · · · · · · · · · · · ·	Date	11/10/10/2
Signature	K I skler	Considered	11/10/03

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

		7	61P = 3			
	· · · · · · · · · · · · · · · · · · ·		MAR 1 9 2003 5		Compl te if Known	
Substi	tute for form 1449A/PTO				09/721,904	
INI	FORMATION D ATEMENT BY	والأرا	DELIDE 🎻	Filing Date	November 27, 2000	
IIVI	CORMATION	100E		First named Inventor	Julius	
SI	<b>VIEWENI BA</b>	APPI	LICANT	Group Art Unit	1632	
	(use as many sheets			Examiner Name		
Chant		24	2	Attorney Docket Number	47841/00063	
Sheet	<u> </u>	of	ა 			

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s) publisher, city and/or country where published	T²
RT	C10	ULEVITCH R. J. ET AL.: "Receptor-Dependent Mechanisms of Cell Stimulation by Bacterial Endotoxin"; ANNUAL REVIEW OF IMMUNOLOGY, U.S., ANNUAL REVIEWS INC.; vol. 13, 1995, page 437-457 XP002071335 ISSN: 0732-0582	
	C11	LÖMS, ZIEGLER-HEITBROCK, H.W. et al., "CD14 is expressed and functional in human B cells", ., EUROPEAN JOURNAL OF IMMUNOLOGY, 24(8); 1937-1940 1994	
	C12	YANG et al. "Analysis Of The CD14 Receptor Associated With Bovine Alveolar Macrophages", Inflammation, 20(1):97-106, XP002062355, Feb. 1996	
	C13	JABARA et al. "Engagement Of CD14 On Monocytes Inhibits The Synthesis Of Human Igs., Including IgE", THE JOURNAL OF IMMUNOLOGY, 153:972-978, XP002062357 (1994)	
	C14	JUAN, TODD S. – C, et al., "Soluble CD14 Truncated at Amino Acid 152 Binds Lipopolysaccharide (LPS) and Enable Cellular Responses to LPS", THE JOURNAL OF BIOLOGICAL CHEMISTRY, 270: 1382-1387, 1995	
	C15	VIRIYAKOSAL, S. and T.H. KIRKLAND,. "The N-terminal Half of Membrane CD14 is a Functional Cellular Lipopolysaccharide Receptor", INFECTION AND IMMUNITY 64: 653-656, 1996	
	C15	JULIUS, M.H. et al., "A Colostral Protein that Induces the Growth and Differentiation of Resting B Lymphocytes", THE JOURNAL OF IMMUNOLOGY, vol. 140, pp. 1366-1371, No. 5, March 1, 1987	-
	C16	FILIPP, DOMINIK et al., , "Soluble CD14 Enriched in Colostrum and Milk Induces B Cell Growth and Differentiaiton", PNAS; vol. 98, pp. 603-608, No. 2, January 16, 2001	
<u> </u>	C17	International Search Report, issued on International Patent Application No. PCT/CA99/00482 and published on April 6, 2000 under WO99/61468	
<u>;</u>		published on April 0, 2000 diluci 14 Cosio 1400	

Examiner	11 - CC	Dat	nd cdan
Signature	C 1 STORY	Considered	11/10/03

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

	(		TPE CT3			
			AR 1 9 2003		Complete if Kn wn	
Substit	Substitute for form 1449APTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Application Numb r	09/721,904	<del></del>
INI	FORMATION DIS	:23:	n chae	Filing Date	November 27, 2000	
07	ATEMENT ON A			First named Inventor	Julius	
51	ATEMENT BY A	NPP	LICANI	Group Art Unit	1632	
_	(use as many sheets as	nece	ssary)	Examiner Name		
Sheet	3	of	3	Attorney Docket Number	47841/00063	
	1	1	I -	1		

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s) publisher, city and/or country where published	T <sup>2</sup>
RT	C18	International Search Report issued on International Patent Application No. PCT/CA91/00880 and published on October 1, 1998 under WO98/22580	
	C19	LANDMANN, R. ET AL., "Soluble CD14 Activates Monocytic Cells Independently of Lipopolysaccharide" INFECTION AND IMMUNITY, AMERICAN SOCIETY FOR MICROBIOLOGY, Vol. 66, No. 5, May 1998, pp. 2264-2271	
	C20	SUNDAN A. ET AL., "Soluble CD14 from Urine Copurifies with a Potent Inducer of Cytokines", Eur. J. Immunol., Vol 24, 1994 pp. 1779-1784	
Examine		Date	
Signature		RTelle 11/10/03	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

MAR 1 9 2003 Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY ARELIE ANT

(use as many sheets as necessary)

of

1

Sheet

C mplete if Kn wn					
Application Numb r	09/721,904				
Filing Dat	November 27, 2000				
First named Inv ntor	Julius				
Group Art Unit	1632				
Examiner Name					
Atty Docket Number	47841/00063				

U.S. PATENT DOCUMENTS							
Cite	U.S. Patent Do	ocument	Name of Patentee or Applicant	Date of Publication	Pages, Columns, Lines, Where Relevant		
No.1	Number	Kind Code <sup>2</sup> (# known)	of Cited Document	MM-DD-YYYY	Passages or Relevant Figures Appear		
A1	5,543,303		Goyert	08-06-1996			
A2	5,714,469		DeMarsh	02-03-1998			
A3	5,730,980		Ulevitch et al.	03-24-1998			
A4	5,804,189		Goyert	09-08-1998			
A5	5,820,858		Leturcq et al.	10-13-1998			
A6	5,869,055		Juan et al.	02-09-1999			
A7	6,093,693		Julius et al.	07-25-2000			
				+			
	A1 A2 A3 A4 A5 A6	A1 5,543,303 A2 5,714,469 A3 5,730,980 A4 5,804,189 A5 5,820,858 A6 5,869,055	No.¹ Number Kind Code² (Il known)  A1 5,543,303  A2 5,714,469  A3 5,730,980  A4 5,804,189  A5 5,820,858  A6 5,869,055	Cite No.'         U.S. Patent Document         Name of Patentee or Applicant of Cited Document           A1         5,543,303         Goyert           A2         5,714,469         DeMarsh           A3         5,730,980         Ulevitch et al.           A4         5,804,189         Goyert           A5         5,820,858         Leturcq et al.           A6         5,869,055         Juan et al.	Cite No.'         U.S. Patent Document         Name of Patentee or Applicant of Cited Document         Date of Publication of Cited Document           A1         5,543,303         Goyert         08-06-1996           A2         5,714,469         DeMarsh         02-03-1998           A3         5,730,980         Ulevitch et al.         03-24-1998           A4         5,804,189         Goyert         09-08-1998           A5         5,820,858         Leturcq et al.         10-13-1998           A6         5,869,055         Juan et al.         02-09-1999		

				FOREIGN	PATENT DOCUMENT	'S		
Examiner	Cite		Foreign Patent Doc	ument	Name of Patentee or	Date of Publication	Pages, Columns, Lines, Where Relevant	T
Initials*	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>	Applicant of Cited Document	of Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T <sup>6</sup>
RT	B1	wo	93 19772	Α	NORTH SHORE UNIVERSITY HOSPITAL	14-10-1993		
;	B2	WO	96 32418	X	OM LABORATOIRES S.A. DEUTSCHE OM ARZNEIMITTEL GMBH LAUENER, R.P.	10-17-1996		
	B3	wo	96 20957	Α	AMGEN INC; UNIV ROCKEFELLER	11-07-1996		
	B4	WO	98 14473	A	LUDWICK HIRSZFELD INSTITUTE OF IMMUNOLOGY AND EXPERIMENTAL THERAPY POLISH ACADEMY OF SCIENCES; RUDOLFA WEIGLA; GEORGIADES BIOTECH LIMITED	04-09-1998		
<u>.</u>	B5	wo	98 22580	A	ALIZADEH KHIAVI KAMEL; WELLESLEY HOSPITAL FOUNDATION	05-28-1998		

Examiner	R. Teller	Date	, ,
Signature		Considered	11/10/03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

1			PE		
0:		/O: "	2)	Complete if Known	
Substit	ute for form 1449A/PTO	- 1		Application Number	09/721,904
INS	FORMATION DIS	scil	OSMBE 0 2003	Ming Date	November 27, 2000
INFORMATION DISCLOSURE 2003 STATEMENT BY APPLICANT (use as many sheets as necessary) 1 TRAD		First named Inventor	Julius		
		Group Art Unit	1632		
	(use as many sheets a	s nece	SSATO A TRAD	Examiner Name	Valarie Bertoglio
Chast		of	4	Attorney Docket Number	47841/00063
Sheet	<u></u>	J 01	<u> </u>		

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s) publisher, city and/or country where published	T <sup>2</sup>
-	C1		
	C2		
AT	C3	FERRERO E. ET AL.: "Nucleotide Sequence of the Gene Encoding the Monocyte Differentiation Antigen, CD14"; NUCLEIC ACIDS RESEARCH, GB, OXFORD UNIVERSITY PRESS, SURREY; vol. 16, no. 9, 1988, page 4173 XP002916988 ISSN: 0305-1048	
	C4		
	C5	RECEIVED	•
-	C6	MAR 2 1 2003 TECH CENTER 1600/2	900
· · · · · ·	C7	-11 1000/2	
	C8		
	C9		

Examiner	4 55 -00	Date	
Signature	K. 1 ekke.	Considered	11/10/03

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.